



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/741,616	12/19/2000	Lizy Kurian John	119927-1040

CONFIRMATION NO. 5809

## FORMALITIES LETTER



\*OC000000005837072\*

Daniel F. Perez  
Gardere & Wynne, L.L.P.  
Suite 3000  
1601 Elm Street  
Dallas, TX 75201-4761

Date Mailed: 03/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Srivatsan Srinivasan*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*Melvin Test By one*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application: Lizy K. John and Srivatsan Srinivasan

Serial No. 09/741,616

Filed: December 19, 2000

Group Art Unit: 2151

Examiner: NOT YET KNOWN

For: **SYSTEM, METHOD AND APPARATUS FOR ALLOCATING  
HARDWARE RESOURCES USING PSEUDORANDOM  
SEQUENCES**

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**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail, in an envelope, addressed to: BOX: MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on May 3, 2001.

  
Signature

Linda L. Gibson

Typed or printed name of person signing certificate

**BOX: MISSING PARTS**  
Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application, mailed March 7, 2001, a copy of which is returned herewith, Applicants respectfully submit this response.

Declaration

As required by the Notice of Missing Parts of Application, Applicants hereby enclose an executed Declaration.

Correction of Filing Receipt

In connection with the above-referenced U. S. Patent Application, Applicants respectfully request that the Attorney's name be changed from "Daniel F. Perez, Esq.", to --Sanford E. Warren, Jr.--, and that it be place above the firm's name. Please delete the firm name of "Gardere & Wynne LLP", and insert the firm's name change of --Gardere Wynne Sewell LLP--. We have enclosed a copy of the official Filing Receipt with the changes marked in red ink for your convenience.

Required Fees

Enclosed is a check in the amount of \$65.00 to cover the surcharge filing fee for **Small Entity Status**.

Conclusion

Applicants respectfully submit that all required parts for the Application have now been filed and that no additional fees are required. It is believed that no other fees are necessary. However, if this is incorrect, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 07-0153.

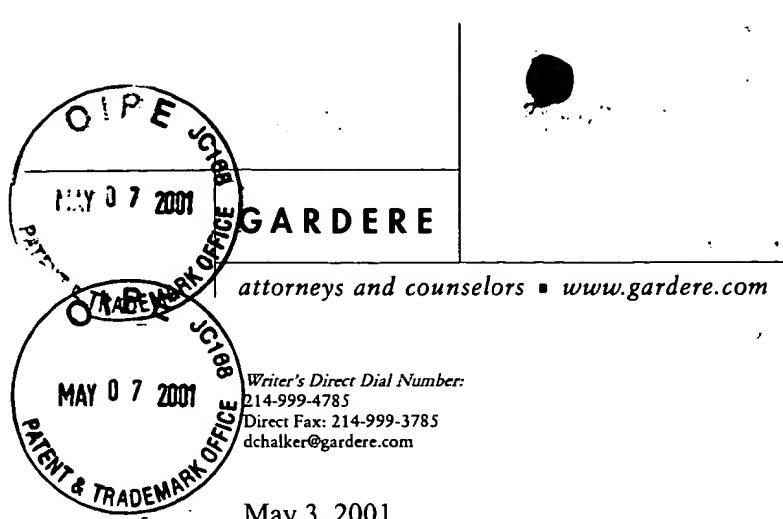
Respectfully submitted,



Daniel J. Chalker  
Reg. No. 40,522

ATTORNEY FOR APPLICANTS

Dated: May 3, 2001



✓Section  
JF

May 3, 2001

**BOX: MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

Re: Inventors: Lizy K. John and Srivatsan Srinivasan  
Patent Application Entitled: SYSTEM, METHOD AND APPARATUS FOR  
ALLOCATING HARDWARE RESOURCES USING PSEUDORANDOM  
SEQUENCES  
Serial No.: 09/741,616  
Filed: December 19, 2000  
Our File No.: 119927-1040

Dear Sir:

Enclosed for filing in connection with the above-referenced patent application are the following documents:

1. Response to Notice to File Missing Parts (2 pp.);
2. Copy of Notice to File Missing Parts (Part 2, 2 pp.);
3. Executed Declaration by Inventor Srivatsan Srinivasan (2 pp.);
4. Marked-Up Copy of the Filing Receipt (4 pp.);
5. Check in the amount of \$65.00 for the payment of the late filing fee (claiming  
**Small Entity Status**);
6. Return postcard.

No additional fees are due. However, if this is incorrect, the Commissioner is hereby authorized to charge any fees that may be required by this paper to Deposit Account No. 07-0153.

Respectfully submitted,

Daniel J. Chalker  
Reg. No. 40,522

Enclosures

DJC:llg

cc: Edwin S. Flores, Ph.D. (w/o enclosures)  
Sanford E. Warren, Jr. (firm, w/o enclosures)



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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
MAY 07 2001 09/741,616	12/19/2000	2151	508	119927-1040	8	37	3



*Manford E. Warren, Jr.*  
 Daniel F. Perez  
 Gardere & Wynne, L.L.P. *Gardere Wynne Sewell LLP*  
 Suite 3000  
 1601 Elm Street  
 Dallas, TX 75201-4761

CONFIRMATION NO. 5809

## FILING RECEIPT



\*OC000000005837071\*

Date Mailed: 03/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Lizy Kurian John, Austin, TX;  
 Srivatsan Srinivasan, Austin, TX;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/172,655 12/20/1999

## Foreign Applications

If Required, Foreign Filing License Granted 03/07/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

GARDERE WYNNE SEWELL LLP

MAR 12 2001

System, method and apparatus for allocating hardware resources using pseudorandom sequences

Preliminary Class

709

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Data entry by : BEYENE, MELKAM

Team : OIPE

Date: 03/07/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231